

*WILLIAM PENN*  
FOUNDATION



ANNUAL REPORT 2011



Crestock Images

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On the cover: Carousel at Franklin Square Park  
[ Photo by M. Kennedy for GPTMC ]

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## Respecting Legacy, Adapting to Change

**We are pleased to present our 2011 Annual Report, which looks back on an important year of both continuity and transition.**

In 2011 we continued the Haas family's 65-year tradition of support for the Philadelphia region. As in previous years, we emphasized three primary areas of interest: cultural vitality; disadvantaged children; and environmental sustainability. We provided nearly \$86 million in grants towards these and related causes.

As you look through the pages of this Annual Report you will see examples of work we funded. Every picture represents a story of leadership and vision on the part of our grantees and partners. We are pleased to support these efforts and proud of the impact they have had on our city and region.

Many of the stories reflect the imperative to adapt to new circumstances. This is a time of profound national change, and it demands that we all find new and better ways to do our work and solve problems. Consider a few examples from 2011.

Our support helped the Academy of Natural Sciences, one of the oldest and most important natural science museums in America, to merge with Drexel University. The new alliance provides advantages to both institutions. Backed by one of Philadelphia's fastest-growing universities, the Academy will be able to sustain its historic collection and expand its services. Capitalizing on the Academy's scientific resources, Drexel is poised to become a leading institution in local, national, and global dialogues about environmental policy and practice.

We helped the Philadelphia Zoo, with no physical room to expand, redefine how to use space by building an innovative network of animal trails that soars through the trees. Those trails provide a better environment for animals and a new experience for visitors to the nation's oldest zoo. It is also a model for reinvention that is being watched closely by other zoos.

With support from the Foundation, Mastery Charter Schools continued to redefine what is possible for children when old paradigms about K-12 education are allowed to evolve. As we look to the future, this type of progress is what is needed to help close the achievement gap for Philadelphia's children.

We continued our work on Philadelphia's Central Delaware Waterfront, helping to lead its rebirth as a great public gathering place and a generator for economic growth. We continued our conservation efforts throughout the region, with a particular emphasis on our local watersheds and the forests that protect them. And we remained significant investors in Philadelphia's diverse cultural sector, helping to build on its remarkable creative energy.

The year might best be summarized as one of respect for legacy coupled with recognition of the pressing urgency of adapting to a changing world. This urgency sets the context for our new strategic plan, which will be announced in late 2012 and launched at the beginning of 2013.

As we proceed into our new strategic plan, we will continue to make vital investments in the civic life of this region, and in doing so, identify new ways to become more effective and transparent. We will do the same as we ask of our grantees and partners. You should expect nothing less.

Sincerely,



Thomas Haas  
Chair of the Corporation



Janet Haas, M.D.  
Chair of the Board



Jeremy Nowak  
President



Clockwise from top: Philadelphia Youth Network [ Photo by Tommy Leonardi ]

New Jersey Pinelands [ Photo by Michael Hogan ]

Kun-Yang Lin/Dancers [ Photo courtesy of the Painted Bride ]

# Foundation Programs

This page reflects the Foundation's grantmaking priorities from 2001 to 2011. At press time, these funding programs have concluded. The Foundation will announce a new strategic plan and grantmaking priorities shortly after this Annual Report is published. Visit us online in late 2012 for information about our new funding programs.

## Arts & Culture

**Diverse artistic expression is a hallmark of a healthy region.** Through our Arts & Culture program, we provide various types of core operating support for arts groups and cultural institutions, enabling them to pursue their creative missions with confidence in their organizations' future. We also fund work that broadly advances the region's cultural sector. Our funding strategies promote artistic achievement and encourage public participation in and support for the arts.

## Children, Youth, & Families

**When all children and families have access to opportunity, society benefits.** Our Children, Youth, & Families program funds work in our region to promote a better early care and education system, more effective and equitable education policies, networks of developmental opportunities for older youth, and improvements to the systems supporting families. Our grantmaking focuses largely on critical transitions in the lives of children as they progress from birth, through early childhood, and into young adulthood.

## Environment & Communities

**Healthy ecosystems and communities are essential for a livable and economically competitive region.** The Environment & Communities program uses an integrated grantmaking approach to enhance the sustainability of Greater Philadelphia's ecosystems and older communities. The program seeks to foster greater cross-sector collaborations that build on the assets of our region through revitalization of its urban core and protection and restoration of the region's natural assets, which we define as key landscapes and waterways. Our Environment & Communities program makes investments intended to catalyze innovation and leadership in the region.

**Evaluation & Planning** We believe that lessons gained from our grantmaking can be used to help keep our work relevant, effective, and valuable to the fields in which we work. The Foundation evaluates the progress of our funding strategies and seeks to create opportunities to learn and share knowledge acquired over time.

**Communications** We view strategic communications as a tool that can maximize the impact of our grants. When needed, the Foundation provides funding and other assistance to our grantees to develop practical communications approaches that advance our common goals.

## The Central Delaware Waterfront Comes Alive



**In 2011, the Delaware River Waterfront Corporation released the Master Plan for the Central Delaware, an ambitious document that seeks to “transform a six-mile length of Philadelphia’s Central Delaware River Waterfront into an authentic extension of the thriving city and vibrant neighborhoods immediately to its west.”**

William Penn Foundation program officer Shawn McCaney notes that progress is already happening as a result of the plan’s recommendations. “The Delaware River Waterfront Corporation is implementing a pipeline of early action projects—such as Race Street Pier, connector streets, Washington Avenue Green, and the first phases of a riverfront trail—all of which reinforce the key tenet of the master plan on the ground: public access to



Rendering © Keran Lumbak/Bronxlyn Digital Foundry

the riverfront must be the organizing principle of the redevelopment of the Central Delaware.

Adding even more excitement to the waterfront mix, the Philadelphia Live Arts Festival and Philly Fringe is in the process of renovating the old water pumping station at the foot of Race Street Pier. The new facility will include an approximately 240-seat theater,

the festival's box office, a striking 2,000-square-foot restaurant, and administrative offices for the organization.

"With the Fringe and the Race Street Pier working together, you create an almost instant destination," explains McCaney. "It's a great example of creative placemaking on the waterfront, investing in projects that will help animate and activate community space."

## The Central Delaware Waterfront Comes Alive



*“With the Fringe and the Race Street Pier working together, you create an almost instant destination. It’s a great example of creative placemaking on the waterfront, investing in projects that will help animate and activate community space.”*

— Shawn McCaney, William Penn Foundation program officer

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This page: Artist’s rendering of new Live Arts/Fringe facility [ Image courtesy of WRT Design ]

Facing page, clockwise from top: Race Street Pier [ Photo by JoAnn Greco ]

Race Street Connector [ Photo by Kellie Patrick Gates ]

Washington Avenue Green [ Photo by Kellie Patrick Gates ]



## Philly's Unique Creativity

Philadelphia's cultural sector is the envy of many American cities. Teeming with theater, dance, visual arts, and myriad forms of music, Philadelphia is enlivened by hundreds of unique cultural organizations of all sizes.

Azuka Theatre, Vox Populi, Leah Stein Dance Company, and Tempesta di Mare are smaller arts organizations, but along with their peers, they represent an important dimension of Philadelphia's rich cultural

community. All four groups received Foundation grants in 2011 and are featured in this spread, which merely begins to touch on the diversity and breadth of artistic expression in Philadelphia.



Azuka is an alternative theater, critically acclaimed for its cutting-edge performances. The company has been recognized with two Barrymore Awards, 11 Barrymore Nominations, and the *Philadelphia Gay News's* Lambda Award. [Photo by Johanna Austin/AustinArt.org]



Vox Populi is an artist collective dedicated to showcasing the challenging and experimental work of underrepresented artists in Philadelphia. Vox plays an important role in helping emerging artists gain critical exposure. [Xavier Cha, *Portal*. In collaboration with Danny Johnston as part of *Quadruple-Consciousness*, curated by Malik Gaines at Vox Populi. Photo by Brent Wahl.]

## Philly's Unique Creativity



Philadelphia has a unique, internationally regarded chamber music ensemble in Tempesta di Mare. The touring and recording group performs baroque music on baroque instruments, treating audiences to exciting, shared public experiences that bring classical music to life. [Photo by Andy Kahl]



Leah Stein Dance Company is known for its unique staging of modern dance, turning historic sites and other public spaces into interesting and unusual performance spaces. [ Photo © Lois Greenfield ]

## Expanding What Works

**The future for public education in Philadelphia began looking brighter in 2011, thanks to new leadership in key civic institutions and an emerging openness to school models that work for students.**

The Great Schools Fund, an ambitious effort by the Philadelphia Schools Partnership to raise \$100 million, will invest in proven models of success for low-income students. The Fund will drive rapid expansion of the most promising K–12 models, including traditional public, charter, and parochial schools, allowing more of Philadelphia’s students to benefit from the best innovations in education.

Janet Haas, M.D., chair of the Foundation’s board, emphasizes the opportunity for other philanthropic and civic leaders to join a cause critical to the long-term health of our community. “We need many more philanthropists to rally around a common vision for excellent schools. Our children’s future depends on it, and our city’s future depends on it,” says Haas.



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Young minds grow in a Mastery Charter classroom.

[ Photo courtesy of Mastery Charter Schools ]



## Expanding What Works

*“We need many more philanthropists to rally around a common vision for excellent schools. Our children’s future depends on it, and our city’s future depends on it.”*

— Janet Haas, M.D.,

William Penn Foundation

Board Chair





Digital Vision

## Creating a Constituency for Conservation

**Greater Philadelphia has always benefited from terrific recreational and outdoor assets, but now, thanks to several exciting efforts in the region, families, workers, and visitors are closer to nature than ever, creating new stewards and contributing to a community that understands and values environmental resources, both locally and globally.**

In Philadelphia's storied Fairmount Park, America's first zoo is creating an innovative system of enclosed animal pathways and trails that allow people to experience some of the world's most exotic animals up close and personal. Among the first of its kind in the world, the Philadelphia Zoo's system of elevated and ground-level enclosures will allow humans and animals, such as orangutans, gorillas, big cats, and many others, to experience one another more closely than ever before. Vik Dewan, the zoo's chief executive officer, says that these experiences help visitors to "paint the bigger picture of how they could be more effective stewards of the world."



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Animals and visitors experience one another more closely than ever at America's first zoo.

[ Photo courtesy of Philadelphia Zoo ]



## Creating a Constituency for Conservation

Across the Schuylkill River, in East Fairmount Park, the Audubon Society is working with the Philadelphia Water Department to conserve the East Park Reservoir, preserving a critical bird habitat and providing opportunities for urbanites

to experience world-class birding in America's largest urban park.

Residents and visitors can access these, and many other amenities using an increasingly integrated system of trails, called "The Circuit." When fully completed,



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This page: East Park Reservoir, Fairmount Park [ Photo by Steven Kerr ]

Facing page: The Circuit [ Photo by Frank Warnock, Bike Delaware ]

The Circuit will encompass 750 miles of interconnected paths for pedestrians and bikes, bringing users up close with some of our region's most significant waterways and natural areas, while getting to their destinations in healthy and sustainable ways.

Together, Philadelphia's remarkable outdoor assets are providing people with new and exciting connections to the natural world, building a stronger constituency for conservation and environmental stewardship.



## Transformation for a Stronger Future

**As the birthplace of American civic life, Philadelphia is blessed with great nonprofit institutions of all types, several as old as the nation itself. However, mature institutions face unique challenges brought on by rapid currents of economic, technological, and social change.**

Several of Philadelphia's great institutions are responding to change admirably, transforming their business models, programming, and management structures to ensure the ongoing strength of their missions and a tradition of greatness.

The Opera Company of Philadelphia has experienced an artistic rebirth, largely because it was able to solve difficult financial problems through excellent management and the reinvention of its business model. These institutional advances, coupled with an emphasis on a creative balance between traditional and new operatic works that appeal to socially and culturally diverse audiences have led to the company's increasing recognition as one of America's great operas.



Opera Company of Philadelphia's production of *La Traviata*.

[ Photo by Kelly & Massa ]



## Revitalizing Great Organizations

The Academy of Natural Sciences, faced with an uncertain future, found a partner in Drexel University, leading to a merger in 2011. Now united, these two great institutions are able to capitalize on their respective strengths. The Academy's exceptional scientific resources and collection are now part of Drexel's Department of Biodiversity, Earth, and Environmental Sciences, which is poised to make meaningful contributions to scientific policy and practice affecting the regional and global environments.



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Clockwise from top:

**The united Academy of Natural Sciences of Drexel University**

[ Photo by Michael.Servedio/ANSP ]

**Scientists monitor the region's water quality.**

[ Photo Richard Horwitz/ANSP ]

**Specimens from the Academy's unique collection**

[ Photo by Lauren Duguid/ANSP ]

**Visitors experience the Academy's exhibits.**

[ Photo by Michael.Servedio/ANSP ]





## History of the Foundation



**In 1945 Otto and Phoebe Haas created the Phoebe Waterman Foundation to provide for their philanthropic concerns, specifically relief in postwar Europe, scholarships for fatherless children, and support for medical and educational institutions. The Foundation's development was made possible by the increasing success of the Rohm and Haas Company.**

Over the next decade, the Foundation was supported by gifts from the family and continued to reflect the personal philanthropic interests of Otto and Phoebe Haas. In 1955, as annual grants exceeded \$100,000, the Foundation hired its first director.

Upon Mr. Haas's death in 1960, the Foundation received the bulk of his estate; Mrs. Haas continued a program of regular gifts to the Foundation until her death in 1967. During this period, Otto and Phoebe's sons, John C. and F. Otto, headed the Foundation's board.

When the Foundation's name was changed to the Haas Community Fund in 1970, annual grants were \$3.5 million. Within another four years, grants had doubled to \$7 million per year and the Haas family renamed the Fund the William Penn Foundation, commemorating the 17th-century Quaker whose pursuit of an exemplary society led to the founding of Philadelphia, the City of Brotherly Love.

Throughout its history, the Foundation's grantmaking has focused on a range of topics, including arts and culture, human development, conservation and restoration, community fabric, education, and the environment.

From 2001 to 2011, the Foundation's strategic plan included funding programs in Arts & Culture; Children, Youth, & Families; and Environment & Communities. A new strategic planning process began in 2011, and is expected to result in a ten-year plan with revised funding programs to be announced in late 2012.

Over the years, presidents have included Richard Bennett, Harry Cerino, Kathy Engebretson, Janet Haas, M.D., Feather Houstoun, and Bernard Watson. The current president is Jeremy Nowak.

## Our Founders

**At the age of 15, German-born Otto Haas learned English while working as a bank clerk to support his widowed mother and younger siblings. He didn't know it then, but his newfound language skills would eventually help him create one of the world's largest manufacturers of unique specialty chemicals.**

Clerking at the bank and working with a German dye and chemical manufacturer provided Mr. Haas with the savings he needed to immigrate to the United States, and he did so in 1901, before the age of 30. Within a few years, Dr. Otto Rohm, a friend from Germany who was developing a new product for the tanning industry, asked Mr. Haas if he could help him with his business. Mr. Haas agreed, and helped investigate the needs of leather tanners in the United States before returning to Germany to help Dr. Rohm develop the new business.

Mr. Haas returned to the United States in 1909 to establish the first American branch of the fledgling Rohm and Haas partnership in Philadelphia, a center of the tanning industry. The venture was a success, and by 1912 there was a branch in Chicago. In 1913, markets in South America were ready for the new approach to tanning, and Mr. Haas set out on a trip to establish offices there.

On the ship to South America, Otto Haas met Dr. Phoebe Waterman, an astronomer on her way to an assignment at an observatory in Argentina. Born on the North Dakota frontier, she was the daughter of an army lieutenant colonel posted to Fort Totten

to rebuild the troops replacing Custer's regiment after Little Big Horn. Educated at Vassar and Berkeley, she had earned an M.A. in mathematics and astronomy and was among the first women to earn a Ph.D. in astronomy at the Berkeley/Lick Observatory. Phoebe Waterman and Otto Haas were married in 1914.

Rohm and Haas continued to prosper in subsequent decades, thanks to the firm's concentration on chemicals that had unique industrial properties and the hard work of its employees. Mr. Haas's personal concern for his employees and his financial policies enabled the company to survive the Depression without reducing its workforce. World War II coincided with the company's development of Plexiglas, a product well-suited for airplane cockpit enclosures.

For a century, Rohm and Haas Company created innovative technologies used in science and industry, and developed products to enhance the performance of electronics, paint and coatings, detergents, personal care products, adhesives, and plastics. The company's expertise in specialty chemicals was widely recognized. In 2008, Rohm and Haas Company agreed to merge with The Dow Chemical Company and the transaction closed in the second quarter of 2009.

# Founding Philosophies

## Our Vision

| **Advancing a dynamic, diverse region with meaningful opportunity**

## Our Values

**Stewardship:** The Foundation's funds belong to the community at large. Members, directors, and staff act as trustworthy and responsible stewards of these funds, seeking to direct resources with wisdom and compassion. They strive to ensure that our grantmaking is not only relevant, effective, and efficient, but also mindful of the opportunity gap between low-income residents and their more advantaged peers.

**Respect for Others:** Members, directors, and staff value and respect all persons, recognizing that persons of disparate gender, race, age, religion, economic level, sexual orientation, and capacity contribute meaningfully to our world. All persons are treated with honesty, integrity, and fairness.

**Commitment to Collaboration:** Participation of the public, as well as that of other grantmakers and Foundation directors and staff, is sought to clarify issues of community concern and is facilitated through our role as a convener. Collegial relationships, collaboration, discussion, debate, and exchange of information are encouraged.

**Learning:** Grantees' accomplishments and the Foundation's activities, including evaluation and education efforts, provide means to promote learning and convey information to interested others. Foundation members, directors, and staff value learning opportunities that enhance the well-being of the region and its citizens.

**Communication:** Communication advances the Foundation's mission by enhancing the impact of our grantmaking and the effective use of our resources. Members, directors, and staff value clarity, coherence, and simplicity in communication. They listen and seek to learn from others in order to function with maximum efficacy.

## Our Mission

**To improve quality of life in the Greater Philadelphia region through efforts that foster rich cultural expression, strengthen children’s futures, and deepen connections to nature and community. In partnership with others, we work to advance a vital, just, and caring community.**

## Our Principles

**Long-Term Focus:** We focus on work that will strengthen the region’s viability and sustainability for the long term, rather than confining our efforts to short-term goals.

**Integration:** Whenever possible, we integrate grantmaking throughout rural, suburban, and urban areas of the Philadelphia region and across Foundation grantmaking categories.

**Achievability:** We support work that is based on sound objectives and measurement practices, is ambitious but achievable, and is relevant to our grantmaking capacity to contribute. We understand that success is predicated on the presence of social capital and viable partners and on sharing and applying insights learned from previous work in the field.

**Leverage:** We focus on work that has a multiplier effect; we seek points of leverage, including alignment of interests across the private and public sectors.

**Relevance:** We regularly and consistently ask our community for information regarding significant challenges faced by our region and for feedback about the value and effectiveness of our work and the relevance of our planned future directions.

## Financial Highlights

### Statement of Financial Position\*

Years ended December 31, 2011 and 2010

<b>Assets</b>	2011	2010
Cash	\$ 92,569	\$ 250,565
Investments	1,866,859,825	2,035,967,716
Securities lending collateral	76,845,660	69,887,762
Program-related investments	2,500,000	3,500,000
Other assets	1,000,202	420,925
Property and equipment, net	486,165	444,002
Pension plan, net	410,544	653,620
<b>Total assets</b>	<b>\$1,948,194,965</b>	<b>\$ 2,111,124,590</b>
<b>Liabilities and Net Assets</b>		
Deferred excise tax	—	2,328,269
Grants payable, net	39,634,796	42,009,644
Securities lending collateral obligations	76,845,660	69,887,762
Post-retirement health care benefits	2,718,080	2,118,099
Accrued expenses and other liabilities	266,578	247,133
<b>Total liabilities</b>	<b>119,465,114</b>	<b>116,590,907</b>
<b>Net assets</b>	<b>1,828,729,851</b>	<b>1,994,533,683</b>
<b>Total liabilities and net assets</b>	<b>\$ 1,948,194,965</b>	<b>\$ 2,111,124,590</b>

*\*Because the Foundation's annual audit was ongoing at press time, this statement is unaudited as presented. This statement of financial position excludes beneficial interest in trusts.*

## Statement of Activities\*

Years ended December 31, 2011 and 2010

<b>Revenues</b>	2011	2010
Interest	\$ 7,104,321	\$ 4,504,494
Dividends	27,090,923	32,923,876
Grants from Otto Haas Charitable Trusts	—	2,026,472
Net realized and unrealized gains	(102,956,910)	190,389,997
Total revenue	\$ (68,761,666)	\$ 229,844,839
<b>Grants and Operating Expenses</b>		
Grants expense	79,105,271	92,680,139
Program administration and general expenses	8,252,105	6,054,394
Investment expenses	9,110,651	7,899,028
Federal excise tax and income taxes	574,139	477,705
Total grants and operating expenses	\$ 97,042,166	\$ 107,111,266
Change in net assets	(165,803,832)	122,733,573
Unrestricted net assets, beginning of year	1,994,533,683	1,871,800,110
Unrestricted net assets, end of year	\$1,828,729,851	\$1,994,533,683

\*Because the Foundation's annual audit was ongoing at press time, this statement is unaudited as presented.

## Grantmaking Details

### Grant Facts, 2010–2011

	2011	2010
Eligible proposals received	268	301
New grants approved <sup>1</sup>	253	278
Dollars awarded for new grants	\$ 85,932,318	\$ 93,813,712
Active grants from prior years	131	186
Total active grants	384	465
Total payments on grants <sup>2</sup>	\$ 80,318,216	\$ 80,516,426
Dollars awarded, WPF principal funder <sup>3</sup>	\$ 10,364,543	\$ 20,114,154
	(34 grants)	(68 grants)

<sup>1</sup>WPF approved six grants in 2010 and nine grants in 2011 that were shared with a primary program area but not included in this total.

<sup>2</sup>Does not include small and matching gifts totaling \$1,202,832 in 2010 and \$1,185,609 in 2011.

<sup>3</sup>WPF is providing the majority of the support for the project.

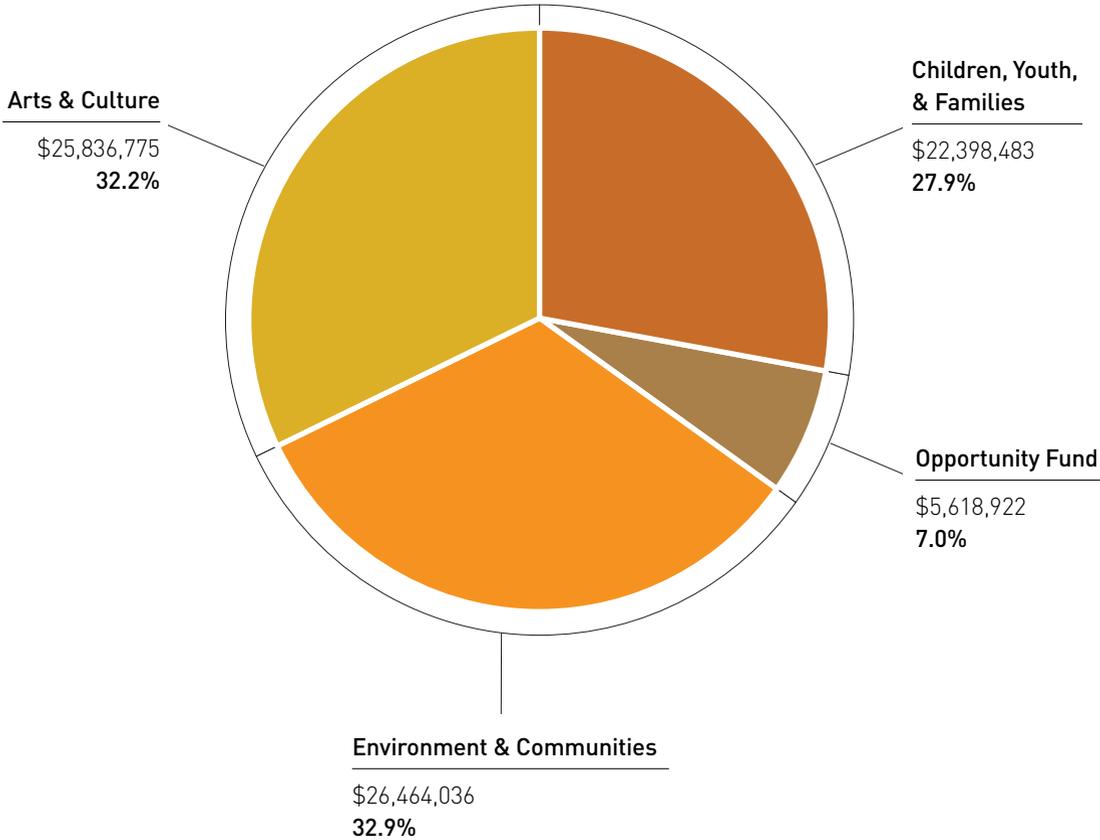
### New and Active Grants, 2011

	Number of New Grants <sup>1</sup>	Number of Active Grants-Prior Years	Total Active Grants	2011 New Appropriations	2011 Total Payments on Active Grants
Arts & Culture	66	54	120	\$30,626,069	\$25,836,775
Children, Youth, & Families	75	19	94	\$26,692,418	\$22,398,483
Environment & Communities	70	40	110	\$21,350,695	\$26,464,036
Opportunity Fund	42	18	60	\$7,263,136	\$5,618,922
2011 Totals	253	131	384	\$85,932,318	\$80,318,216

<sup>1</sup>Totals do not include information on nine grants shared between more than one program area or small and matching gifts totaling \$1,185,609.

# Awards & Payments

Grant Payments by Category\*



\*Does not include small and matching gifts totaling \$1,185,609

## Arts &amp; Culture

11th Hour Theatre Company	\$45,100
American Composers Forum	\$140,000
Arden Theatre Company	\$500,000
Art Partners Studio	\$63,800
Atwater Kent Museum	\$82,500
Azuka Theatre	\$82,500
The Barnes Foundation	\$1,000,000
The Center for Art in Wood	\$49,560
Christ Church Preservation Trust	\$68,700
Darlington Arts Center	\$18,456
The Education Policy and Leadership Center	\$82,500
EgoPo, Inc.	\$49,500
First Person Arts, Inc.	\$371,060
The German Society of Pennsylvania	\$250,000
Grantmakers in the Arts	\$10,800
Greater Philadelphia Chamber of Commerce Regional Foundation	\$70,301
Greater Philadelphia Cultural Alliance	\$400,000
Historical Society of Pennsylvania	\$450,000
Independence Public Media of Philadelphia	\$80,580
Intercultural Journeys	\$70,000
International Association of Performing Arts for Young People, Inc.	\$82,500
James A. Michener Art Museum	\$81,180



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First Person Arts Festival | Photos by Erika Vonie |

## Arts & Culture

Kun-Yang Lin/Dancers (KYL Dancers, Inc.)	\$80,812
Lantern Theater Company	\$82,500
La Salle University	\$276,798 <sup>1</sup>
Leah Stein Dance Company	\$146,850
The Mann Center for the Performing Arts	\$300,000 \$1,500,000
Mendelssohn Club of Philadelphia	\$125,950
Mid Atlantic Arts Foundation, Inc.	\$600,000
Miro Dance Theatre	\$82,500
The Montgomery County Foundation, Inc.	\$232,000
National Association of Latino Arts and Culture	\$150,000
National Guild Community Schools of the Arts, Inc.	\$27,500 \$74,800
National Performance Network, Inc.	\$100,000
New Paradise Laboratories Theatre, Inc.	\$66,000
New Sounds Music, Inc.	\$203,500
Opera Company of Philadelphia	\$1,200,000
Painted Bride Art Center	\$150,000
Pennsylvania Ballet Association	\$1,500,000
The Pew Charitable Trusts	\$345,000
Philadelphia Dance Projects	\$50,000
Philadelphia Education Fund	\$750,000 <sup>2</sup>
Philadelphia Museum of Art	\$5,000,000 <sup>3</sup>

<sup>1</sup> Shared with Children, Youth, & Families and Opportunity Fund

<sup>2</sup> Shared with Children, Youth, & Families

<sup>3</sup> Shared with Opportunity Fund

The Philadelphia Orchestra Association	\$7,000,000
Philadelphia Singers	\$300,000
Philagrafika	\$82,500
PIDC Regional Development Corporation	\$829,920
Pig Iron Theatre Company, Inc.	\$420,000
PlayPenn, Inc.	\$82,500
Raices Culturales Latinoamericanas, Inc.	\$50,000
Settlement Music School	\$500,000
Technical Development Corporation	\$750,000
Tempesta di Mare, Inc.	\$129,500
Theatre Alliance of Greater Philadelphia	\$70,000
Theatre Horizon	\$68,200
	\$82,500
University of Pennsylvania - Annenberg Center for the Performing Arts	\$742,500
University of Pennsylvania - School of Design	\$3,000
University of Pennsylvania - WXPB-FM	\$500,000
The Village of Arts and Humanities, Inc.	\$82,500
Vox Populi	\$82,500
VSA Arts of Pennsylvania	\$82,500
The Wilma Theater, Inc.	\$1,006,500
Zoological Society of Philadelphia	\$250,000
	\$2,000,000

## Children, Youth, & Families

Advocates for Children of New Jersey	\$82,500
Asian Americans United	\$82,500
Association of Black Foundation Executives	\$5,000
The Attic Youth Center	\$372,648
Big Brothers Big Sisters Southeastern Pennsylvania	\$154,000
Bryn Mawr College	\$199,993
Children's Hospital of Philadelphia	\$75,000
Children's Hospital of Philadelphia - Policy Lab	\$82,500
	\$846,504
Childspace Cooperative Development, Inc.	\$165,000
The Community Foundation for the National Capital Region	\$25,000
Community Foundation of New Jersey	\$150,000
Community Legal Services, Inc.	\$82,500
	\$500,000
Congreso de Latinos Unidos, Inc.	\$534,633
Council for a Strong America - Mission Readiness	\$440,000
Crozer-Chester Foundation	\$922,292
Education Law Center	\$100,000
Education Law Center - PA	\$82,500
The Fund for Philadelphia, Inc.	\$53,040
	\$82,500
GreenLight Fund	\$500,000
The Health Federation of Philadelphia, Inc.	\$718,467
Institute for Safe Families	\$240,000
Juntos	\$82,500
Juvenile Law Center	\$300,000

Keystone Research Center, Inc.	\$105,000
La Salle University	\$276,798 <sup>4</sup>
Mazzoni Center	\$247,500
Neighborhood Interfaith Movement, Inc.	\$615,700
New Jersey Citizen Action Education Fund, Inc.	\$82,500
Nonprofit Finance Fund	\$1,500,000
Pennsylvania Association for the Education of Young Children	\$82,500
Pennsylvania Health Law Project	\$82,500 \$82,500
Pennsylvania Partnerships for Children	\$375,000
Pennsylvanians for Modern Courts	\$220,000
Philadelphia Academies, Inc.	\$550,000
Philadelphia Education Fund	\$750,000 <sup>5</sup>
Philadelphia Mural Arts Advocates	\$200,000
Philadelphia Schools Project	\$82,500 \$82,500
Philadelphia Student Union	\$82,500
Philadelphia Youth Network	\$82,500 \$569,884 \$2,167,000 \$5,400,000
PICO National Network	\$82,500 <sup>6</sup>
Public Citizens for Children and Youth	\$45,000 \$576,356
Public Health Management Corporation	\$82,500
Public Private Ventures	\$275,000 <sup>7</sup>

<sup>4</sup> Shared with Arts & Culture and Opportunity Fund

<sup>5</sup> Shared with Arts & Culture

<sup>6</sup> Shared with Environment & Communities

<sup>7</sup> Shared with Opportunity Fund

## Children, Youth, & Families

Resources for Human Development, Inc.	\$78,045
	\$720,500 <sup>8</sup>
Respond, Inc.	\$82,500
Rutgers University Foundation - Center for Children and Childhood Studies	\$219,933
Schott Foundation for Public Education	\$471,206
Support Center for Child Advocates	\$300,000
Temple University - University Community Collaborative of Philadelphia	\$329,560
Third Sector New England	\$17,200
	\$34,221
	\$50,000
	\$55,000
	\$436,000
Tides Center	\$82,500 <sup>9</sup>
To Our Children's Future With Health, Inc.	\$77,000
United Communities Southeast Philadelphia	\$575,828
United Way of Southeastern Pennsylvania	\$10,000
	\$525,721
	\$715,000
Universal Community Homes	\$275,000
University of Pennsylvania - The Field Center for Children's Policy, Practice, and Research	\$10,000
Women's Community Revitalization Project	\$265,279
Women's Law Project	\$400,000
Youth Empowerment Services	\$300,000
Youth United for Change	\$82,500
Zero to Three: National Center for Infants, Toddlers and Families	\$225,000

<sup>8</sup> Shared with Opportunity Fund

<sup>9</sup> Shared with Environment & Communities



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United Way of Greater Philadelphia and Southern New Jersey  
[ Photo courtesy of United Way of Greater Philadelphia and Southern New Jersey ]

## Environment & Communities

Academy of Natural Sciences of Philadelphia	\$82,500
American Rivers, Inc.	\$269,500
Appalachian Mountain Club	\$330,000
Berks County Conservancy	\$492,800
Bicycle Coalition of Greater Philadelphia	\$600,000
Camden City Garden Club, Inc.	\$345,000
Campus Philly	\$82,500
Center City District	\$82,500
Clean Air Council	\$82,500
Clean Ocean Action, Inc.	\$185,000
Clean Water Fund - New Jersey	\$20,000
Clean Water Fund - Pennsylvania	\$110,000
Cooper's Ferry Development Association, Inc.	\$783,750
Council of New Jersey Grantmakers	\$10,000
Delaware and Lehigh National Heritage Corridor	\$82,500
Delaware River Basin Commission	\$82,500
Delaware Riverkeeper Network	\$519,200
Delaware Valley Green Building Council	\$142,395
Fair Food	\$82,500
Fairmount Park Conservancy	\$82,500
Foundation for Pennsylvania Watersheds	\$300,000
The Fund for Philadelphia, Inc.	\$330,000
	\$875,000
Greenpoint Manufacturing and Design Center	\$82,500
Greensgrow Philadelphia Project	\$330,000

Habitat for Humanity - Philadelphia	\$82,500
Housing Alliance of Pennsylvania	\$500,000
Housing and Community Development Network of New Jersey, Inc.	\$330,000
Institute for Conservation Leadership	\$110,000
Lancaster County Conservancy	\$165,000
Lancaster Farmland Trust	\$165,000
Land Trust Alliance, Inc.	\$82,500
Local Initiatives Support Corporation - Philadelphia Chapter	\$1,670,000
National Audubon Society - Audubon Pennsylvania	\$1,000,000
National Fish and Wildlife Foundation	\$220,000
National Wildlife Federation	\$82,500
Natural Lands Trust, Inc.	\$165,000
Natural Resources Defense Council	\$74,800
New Jersey Audubon Society	\$33,000
New Jersey Conservation Foundation	\$600,000
New Jersey Regional Coalition	\$165,000
New Jersey Tree Foundation	\$82,500
New Kensington Community Development Corporation	\$293,700
New Manayunk Corporation	\$445,500
NPower Pennsylvania	\$82,500
PennPraxis, Inc.	\$82,500 <sup>10</sup>
	\$750,000 <sup>11</sup>
PennEnvironment Research and Policy Center	\$165,000
The Pennsylvania Horticultural Society	\$2,000,000

<sup>10</sup> Shared with Opportunity Fund

<sup>11</sup> Shared with Opportunity Fund

## Environment & Communities

Pennsylvania Land Trust Association	\$242,000
The Pew Charitable Trusts	\$1,000,000
Philadelphia Association of Community Development Corporations	\$400,000
Philadelphia Parks Alliance	\$330,000
PICO National Network	\$82,500 <sup>12</sup>
PIDC Regional Development Corporation	\$500,000
Pinchot Institute for Conservation	\$55,000
Regional Housing Legal Services	\$500,000
Share Our Strength, Inc. - Community Wealth Ventures	\$220,000
The Sierra Club Foundation	\$55,000
Smart Growth America	\$15,000
Smith Memorial Playground and Playhouse, Inc.	\$82,500
Southeastern Pennsylvania First Suburbs Project	\$35,000
Stony Brook-Millstone Watershed Association	\$125,000
Student Conservation Association, Inc.	\$82,500
Temple University - Center for Natural Resources Development and Protection	\$66,000
Temple University - Center for Sustainable Communities	\$357,500
Tides Center	\$82,500 <sup>13</sup>
Trout Unlimited	\$82,500
The Trust for Public Land - New Jersey Field Office	\$66,000
	\$1,485,000
University City District	\$150,500
University of Pennsylvania - Morris Arboretum	\$217,800
Virginia Organizing	\$10,000

<sup>12</sup> Shared with Children, Youth, & Families

<sup>13</sup> Shared with Children, Youth, & Families



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Student Conservation Association  
[ Photo by T. Hodge ]

## Opportunity Fund

Center City District	\$1,200,000
Central Philadelphia Development Corporation	\$3,500
CEOs for Cities	\$5,000
Community Foundation of New Jersey	\$27,500
	\$375,000
Community Growth Educational Foundation	\$38,500
Community Partners	\$9,500
Council of New Jersey Grantmakers	\$15,500
Council on Foundations	\$44,500
Delaware Valley Grantmakers	\$27,000
Environmental Grantmakers Association	\$3,819
Free Library of Philadelphia	\$10,000
The Fund for Philadelphia, Inc.	\$8,320
Grantmakers for Education	\$1,500
	\$7,500
Grantmakers for Effective Organizations	\$11,000
Grants Managers Network	\$2,000
Greater Philadelphia Chamber of Commerce Regional Foundation	\$25,000
Greater Philadelphia Film Office	\$82,500
Historic Philadelphia, Inc.	\$330,000
La Salle University	\$276,798 <sup>14</sup>
National Constitution Center	\$82,500

<sup>14</sup> Shared with Arts & Culture and Children, Youth, & Families

Neighborhood Funders Group	\$1,100
PennPraxis, Inc.	\$82,500 <sup>15</sup>
	\$82,500
	\$82,500
	\$750,000 <sup>16</sup>
Pennsylvania Academy of the Fine Arts	\$82,500
Pennsylvania Economy League dba Economy League of Greater Philadelphia	\$330,000
Philadelphia Museum of Art	\$5,000,000 <sup>17</sup>
Philadelphia Workforce Investment Board	\$82,500
Public Private Ventures	\$275,000 <sup>18</sup>
Reading Terminal Market Corporation	\$33,000
Resources for Human Development, Inc.	\$82,500
	\$720,500 <sup>19</sup>
Technology Affinity Group, Inc.	\$1,000
Temple University - Department of Geography and Urban Studies	\$82,500
Temple University - Institute for Public Affairs	\$461,557
Temple University - School of Communications and Theater	\$82,500
Tides Center	\$10,000
University of Pennsylvania - Institute for Urban Research	\$27,500
University of Pennsylvania - Morris Arboretum	\$1,000,000
WHYY, Inc.	\$275,000

<sup>15</sup> Shared with Environment & Communities

<sup>16</sup> Shared with Environment & Communities

<sup>17</sup> Shared with Arts & Culture

<sup>18</sup> Shared with Children, Youth, & Families

<sup>19</sup> Shared with Children, Youth, & Families

## Members of the Corporation and Board of Directors

The William Penn Foundation is directed by a corporation composed of Haas family members and a board with family and public directors.

### Members of the Corporation

Thomas Haas, *Chair*  
 David Haas  
 Leonard Haas  
 Frederick Haas  
 Janet Haas, M.D.  
 William Haas

### Board of Directors

Janet Haas, M.D., *Chair*  
 David Haas, *Vice Chair*  
 Frederick Haas, *Secretary*  
 Michael Bailin  
 Judith Freyer\*  
 James Gately  
 Christine James-Brown \*\*  
 Daniel Meyer  
 Howard Meyers  
 Edward Montgomery, Jr.\*  
 Jo-Anna Moore\*\*

\* Elected January 2012

\*\* Term ended January 2012

# Foundation Staff

## President's Office

Jeremy Nowak, *President*  
Monica Gallagher, *Executive Assistant to the President*  
Brent Thompson, *Director of Communications*

## Grantmaking Programs

Laura Sparks, *Vice President for Philanthropic Programs*  
Ronnie Bloom, *Program Director*  
Anna Guarneri, *Program Associate*  
Andrew Johnson, *Program Officer*  
Kerri (Richardson) Lee, *Program Associate*  
Shawn McCaney, *Program Officer*  
Olive Mosier, *Program Director*  
Hillary Murray, *Program Associate*  
Patrick Sherlock, *Program Associate*  
Phoenix Wang, *Director of Strategic Investments*

## Investments

MaDoe Htun, *Chief Investment Officer*  
Amy Chan, *Investment Analyst*  
Jeffrey Jackman, *Director of Investments*  
Dane Kline, *Financial Analyst*  
Nyzinga Patterson, *Manager of Investment Operations*

## Administration

Bruce Bergen, *Director of Finance and Administration*  
Paola Blank, *Administrative Assistant*  
Ethan Dotts, *End User Specialist*  
Colleen Dunn, *Staff Accountant*  
Mark Froehlich, *Accounting Manager*  
Tamika Holman, *Receptionist*  
Barbara Scace, *Director of Grants Management and Office Administration*  
Edward Wagner, *IT Manager*



*William Penn*  
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